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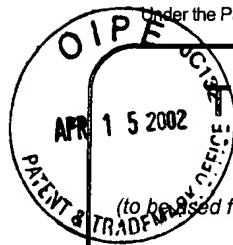
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JCO'd PCT/PTO 15 APR 2002
RPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number		09/914,705	
Filing Date		08/31/01	
First Named Inventor		Yamada	
Group Art Unit		Unassigned	
Examiner Name		Unassigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	082368-000000US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) eight (8) reference copies 2) Return receipt postcard
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Joe Liebeschuetz	Reg No. 37,505
Signature		
Date	April 4, 2002	

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PA 3212638 v1



PATENT
Attorney Docket No.: 082368-000000US
Client Reference No.: K4-004PCT-US

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yamada et al.

Application No.: 09/914,705

Filed: August 31, 2001

For: Vaccine Preparation Containing
Fatty Acid as a Constituent

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

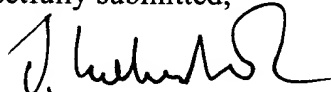
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joe Liebeschuetz
Reg. No. 37,505

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

Complete if Known

Application Number	09/914,705
Filing Date	August 31, 2001
First Named Inventor	YAMADA
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	082368-000000US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	AA	PCT	WO 96/32964	A1		10-24-1996		abst. only
	AB	PCT	WO 96/06627	A1		03-07-1996		
	AC	JP	7-173069	A		07-11-1995		abst. only
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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42

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Complete if Known

Application Number	09/914,705
Filing Date	August 31, 2001
First Named Inventor	YAMADA
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	082368-000000US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AE	KELLEY et al, "Effects of Dietary Arachidonic Acid on Human Immune Response," <u>Lipids</u> , 32(4):449-457 (1997).	
	AF	MARUNO, M., "Research for active principles of Pinelliae Tuber and new preparation of crude drug," <u>J. Traditional Medicines</u> , 14:81-88 (1997).	
	AG	PARMENTIER, et al., "Dietary Unsaturated Fatty Acids Affect Antibody Responses and Growth of Chickens Divergently Selected for Humoral Responses to Sheep Red Blood Cells," <u>Poultry Science</u> , 76:1164-1171 (1997).	
	AH	YAMADA et al., "In Vivo Antiinfluenza Virus Activity of Kampo Medicine Sho-Seiryu-To Through Mucosal Immune System," <u>Meth. Find Exp. Clin. Pharmacol.</u> , 20(3):185-192 (1998).	

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